

Lipschitz realcompactifications and functionals on Lipschitz spaces

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(based on joint work with E. Pernecká and R. J. Smith)

New perspectives in Banach spaces and Banach lattices

Castro Urdiales, 8 Jul 2024

Lipschitz spaces

Let (M, d) be a complete metric space.

Fix a **base point** $0 \in M$.

Given $f : M \rightarrow \mathbb{R}$, its [optimal] Lipschitz constant is

$$\|f\|_L = \sup \left\{ \frac{f(x) - f(y)}{d(x, y)} : x \neq y \in M \right\}$$

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We consider the vector spaces

$$\text{Lip}(M) = \{f : M \rightarrow \mathbb{R} : \|f\|_L < \infty\}$$

$$\text{Lip}_b(M) = \{f \in \text{Lip}(M) : f \text{ bounded}\}$$

$$\text{Lip}_0(M) = \{f \in \text{Lip}(M) : f(0) = 0\}$$

The **Lipschitz space** is the Banach space $(\text{Lip}_0(M), \|\cdot\|_L)$.

Functionals on Lipschitz spaces

We consider the **dual Lipschitz space** $\text{Lip}_0(M)^*$. It contains the evaluation functionals $\delta(x) : f \mapsto f(x)$, $x \in M$.

The mapping $\delta : M \rightarrow \text{Lip}_0(M)^*$ is an (nonlinear) isometric embedding.

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Theorem (*Arens, Eells 1956*)

$\mathcal{F}(M)^* = \text{Lip}_0(M)$, and the weak* topology on $B_{\text{Lip}_0(M)}$ is the topology of pointwise convergence.

Non-weak*-continuous functionals

If M is infinite then $\text{Lip}_0(M)^* \neq \mathcal{F}(M)$.

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- 1 If $x \in M$ is an accumulation point, there are **derivations at x** , e.g.

weak* cluster points of $\frac{\delta(x) - \delta(x_n)}{d(x, x_n)} \in S_{\mathcal{F}(M)}$ where $x_n \rightarrow x$

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- 2 Let βM be the Stone-Čech compactification of M .
If M is bounded, then for $\xi \in \beta M \setminus M$ the functional

$$\delta(\xi): f \mapsto f(\xi)$$

is in $\text{Lip}_0(M)^* \setminus \mathcal{F}(M)$.

Functionals that avoid infinity/the base point

We say that $\phi \in \text{Lip}_0(M)^*$ **avoids infinity** if “it is determined by functions with bounded support”. That is: for all $f \in \text{Lip}_0(M)$

$$\phi(f_n) \rightarrow \phi(f) \quad \text{where} \quad f_n = \begin{cases} f & \text{on } B(0, n) \\ 0 & \text{outside } B(0, 2n) \\ \text{smooth in between} \end{cases}$$

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We say that $\phi \in \text{Lip}_0(M)^*$ **avoids the base point** if “it is determined by functions that vanish near the base point”. That is: for all $f \in \text{Lip}_0(M)$

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All elements of $\mathcal{F}(M)$ avoid infinity and the base point.

The uniform compactification

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Consider the compact Hausdorff space

$$P = \prod_{f \in \text{Lip}_b(M)} \overline{f(M)} \subset \mathbb{R}^{\text{Lip}_b(M)}$$

Then $e : x \mapsto (f(x))_{f \in \text{Lip}_b(M)}$ is a homeomorphic embedding of M into P .

The **uniform** (or **Samuel**, or **Smirnov**) **compactification** of M is

$$M^{\mathcal{U}} = \overline{e(M)}^P \subset P$$

where we identify M with $e(M)$.

For $A \subset M^{\mathcal{U}}$, we denote $\overline{A}^{\mathcal{U}}$ its closure in $M^{\mathcal{U}}$.

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Proof of (3):

- Suppose $d(A, B) > 0$.
Then there is $f \in \text{Lip}_b(M)$ such that $f|_A = 0, f|_B = 1$.
Therefore $f^{\mathcal{U}}(\xi) = 0$ for $\xi \in \bar{A}^{\mathcal{U}}$ and $f^{\mathcal{U}}(\xi) = 1$ for $\xi \in \bar{B}^{\mathcal{U}}$.
Thus $\bar{A}^{\mathcal{U}} \cap \bar{B}^{\mathcal{U}} = \emptyset$.

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Thus $\overline{A}^{\mathcal{U}} \cap \overline{B}^{\mathcal{U}} = \emptyset$.
- Suppose $d(A, B) = 0$.
Then there are $a_n \in A, b_n \in B$ with $d(a_n, b_n) \rightarrow 0$.
Let α, β be cluster points of $(a_n), (b_n)$ in $M^{\mathcal{U}}$.
For every $f \in \text{Lip}_b(M)$ we get $f(a_n) - f(b_n) \rightarrow 0$ and thus $f^{\mathcal{U}}(\alpha) = f^{\mathcal{U}}(\beta)$.
It follows $\alpha = \beta \in \overline{A}^{\mathcal{U}} \cap \overline{B}^{\mathcal{U}}$.

The Lipschitz realcompactification

$\text{Lip}_b(M)$ functions can be extended to all of $M^\mathcal{U}$.

Unbounded $\text{Lip}(M)$ functions can only be extended to points “not at infinity”, i.e. limits of bounded nets in M .

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The **Lipschitz realcompactification** of M is the set of such points

$$M^{\mathcal{R}} = \bigcup_{n=1}^{\infty} \overline{B(0, n)}^{\mathcal{U}} \subset M^{\mathcal{U}}$$

Recall: a topological space is **realcompact** if it is homeomorphic to a closed subset of a Cartesian product of real lines.

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Proposition (*Aliaga, Pernecká, Smith 2024*)

$\delta : M^{\mathcal{R}} \rightarrow (\text{Lip}_0(M)^*, w^*)$ is a homeomorphic embedding, and $\delta(M^{\mathcal{R}})$ is weak* closed in $\text{Lip}_0(M)^*$.

A metric for the realcompactification

For $\xi, \zeta \in M^{\mathcal{R}}$ define

$$\bar{d}(\xi, \zeta) = \|\delta(\xi) - \delta(\zeta)\|_{\text{Lip}_0(M)^*}$$

- $(M^{\mathcal{R}}, \bar{d})$ is a complete metric space
- M is a closed subset of $(M^{\mathcal{R}}, \bar{d})$
- \bar{d} is lower semicontinuous
- Closed \bar{d} -balls are compact
- $\mathcal{F}(M^{\mathcal{R}}, \bar{d}) = \overline{\text{span}} \delta(M^{\mathcal{R}}) \subset \text{Lip}_0(M)^*$

Proposition

Let $A \subset M^{\mathcal{R}}$ be closed, and $\varphi \in \text{Lip}_b(A, \bar{d})$ be continuous. Then $\varphi = f^{\mathcal{U}} \upharpoonright_A$ for some $\varphi \in \text{Lip}_b(M)$ with the same Lipschitz constant.

The metric bidual

$(M^{\mathcal{R}}, \bar{d})$ is the “metric bidual” of (M, d) .

Does it satisfy a metric Principle of Local Reflexivity?

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Question

Is M an almost isometric local retract of $(M^{\mathcal{R}}, \bar{d})$?

That is, for every finite $E \subset M^{\mathcal{R}}$ and $\varepsilon > 0$ there is a map $r : E \rightarrow M$ such that

$$(1 - \varepsilon)\bar{d}(\xi, \zeta) \leq d(r(\xi), r(\zeta)) \leq (1 + \varepsilon)\bar{d}(\xi, \zeta)$$

and $r(\xi) = \xi$ for $\xi \in M$.

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A consequence would be: Given $x \neq y \in M$, TFAE:

- x and y are **discretely connectable**, that is, for every $\varepsilon > 0$ there are points $p_1 = x, p_2, \dots, p_{n-1}, p_n = y$ in M such that
 - $d(p_k, p_{k+1}) \leq \varepsilon$,
 - $d(p_1, p_2) + \dots + d(p_{n-1}, p_n) \leq d(x, y) + \varepsilon$
- x and y are connected by a geodesic in $(M^{\mathcal{R}}, \bar{d})$.

Application 1: Defining supports

The **support** of $m \in \mathcal{F}(M)$ is

$$\text{supp}(m) = \bigcap \{A \subset M \text{ closed} : m(f) = 0 \text{ if } f|_A = 0\}$$

It is a closed separable subset of M where “ m is concentrated”.

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Theorem (Aliaga, Pernecká 2020)

If $m \in \mathcal{F}(M)$ then

$$f|_{\text{supp}(m)} = 0 \implies m(f) = 0$$

In particular, $\text{supp}(m) = \emptyset$ iff $m = 0$.

Extended supports

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The **extended support** of $\phi \in \text{Lip}_0(M)^*$ is

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If $\phi \in \mathcal{F}(M)$ then $\mathcal{S}(\phi) = \overline{\text{supp}(\phi)}^{\mathcal{U}}$ and $\text{supp}(\phi) = \mathcal{S}(\phi) \cap M$.


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Theorem (Aliaga, Pernecká 2020)

Suppose that $\phi \in \text{Lip}_0(M)^*$ avoids infinity. Then:

- $\phi(f) = 0$ for any $f \in \text{Lip}_0(M)$ such that $f|_{U \cap M} = 0$ for some open $U \subset M^{\mathcal{U}}$ containing $\mathcal{S}(\phi)$.
- $\mathcal{S}(\phi)$ is the smallest set with that property.

Application 2: Functionals induced by measures

Given a Borel measure μ on M , we consider the functional $\widehat{\mu}$ on $\text{Lip}_0(M)$:

$$\widehat{\mu}(f) = \int_M f d\mu$$

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- We may assume $\mu(\{0\}) = 0$.
- μ may be signed and finite, or positive and σ -finite.

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$\hat{\mu} \in \text{Lip}_0(M)^*$ iff μ has **finite first moment**, i.e.

$$\int_M d(x, 0) d|\mu|(x) < \infty$$

In that case, $\hat{\mu} \in \mathcal{F}(M)$ and $\text{supp}(\hat{\mu}) = \text{supp}(\mu)$.

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In that case, μ is concentrated on $M^{\mathcal{R}}$ and $\mathcal{S}(\widehat{\mu}) = \text{supp}(\mu)$.

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Theorem (Aliaga, Pernecká 2023)

If $\widehat{\mu} \in \text{Lip}_0(M)^*$, then $\widehat{\mu} \in \mathcal{F}(M)$ iff μ is concentrated on M .

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For $m \in \mathcal{F}(M)$, TFAE:

- 1 m is positive,
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Theorem (Aliaga, Pernecká 2023)

For $\phi \in \text{Lip}_0(M)^*$, TFAE:

- 1 ϕ is positive and avoids infinity and the base point,
- 2 $\phi = \widehat{\mu}$ where μ is a measure on $M^{\mathcal{R}}$ satisfying:
 - μ is positive and σ -finite
 - μ has finite first moment
 - $\mu|_A$ is Radon for every closed $0 \notin A \subset M^{\mathcal{R}}$

Application 3: Optimal de Leeuw representations

A (finite or infinite) sum

$$\sum_{n=1}^{\infty} a_n \frac{\delta(x_n) - \delta(y_n)}{d(x_n, y_n)} \in \mathcal{F}(M)$$

has maximum possible norm $\sum_n a_n$ precisely when $\{(x_n, y_n)\}$ are **cyclically monotonic**, that is

$$d(x_{n_1}, y_{n_1}) + \dots + d(x_{n_k}, y_{n_k}) \leq d(x_{n_1}, y_{n_2}) + \dots + d(x_{n_k}, y_{n_1})$$

for all choices of indices n_1, \dots, n_k .
(We call it a *convex sum of molecules*).

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(We call it a *convex sum of molecules*).

More generally, if $\tilde{M} = \{(x, y) : x \neq y \in M\}$ and μ is a probability measure on \tilde{M} , then

$$\left\| \int_{\tilde{M}} \frac{\delta(x) - \delta(y)}{d(x, y)} d\mu(x, y) \right\|_{\mathcal{F}(M)} = 1$$

precisely when μ is concentrated on a cyclically monotonic set.

Application 3: Optimal de Leeuw representations

Theorem (informal statement) *(Aliaga, Pernecká, Smith 2024)*

If μ is a probability integral on $\widetilde{M^{\mathcal{R}}} = \{(\xi, \zeta) : \xi \neq \zeta \in M^{\mathcal{R}}\}$, then

$$\left\| \int_{\widetilde{M^{\mathcal{R}}}} \frac{\delta(\xi) - \delta(\zeta)}{\bar{d}(\xi, \zeta)} d\mu(\xi, \zeta) \right\|_{\text{Lip}_0(M)^*} = 1$$

if and only if μ is concentrated on a \bar{d} -cyclically monotonic subset of $M^{\mathcal{R}} \times M^{\mathcal{R}}$.

Thanks for your attention!

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